

Machine Learning-Based Chatbots: An Overview, Analysis of Trust, and Ethical Issues

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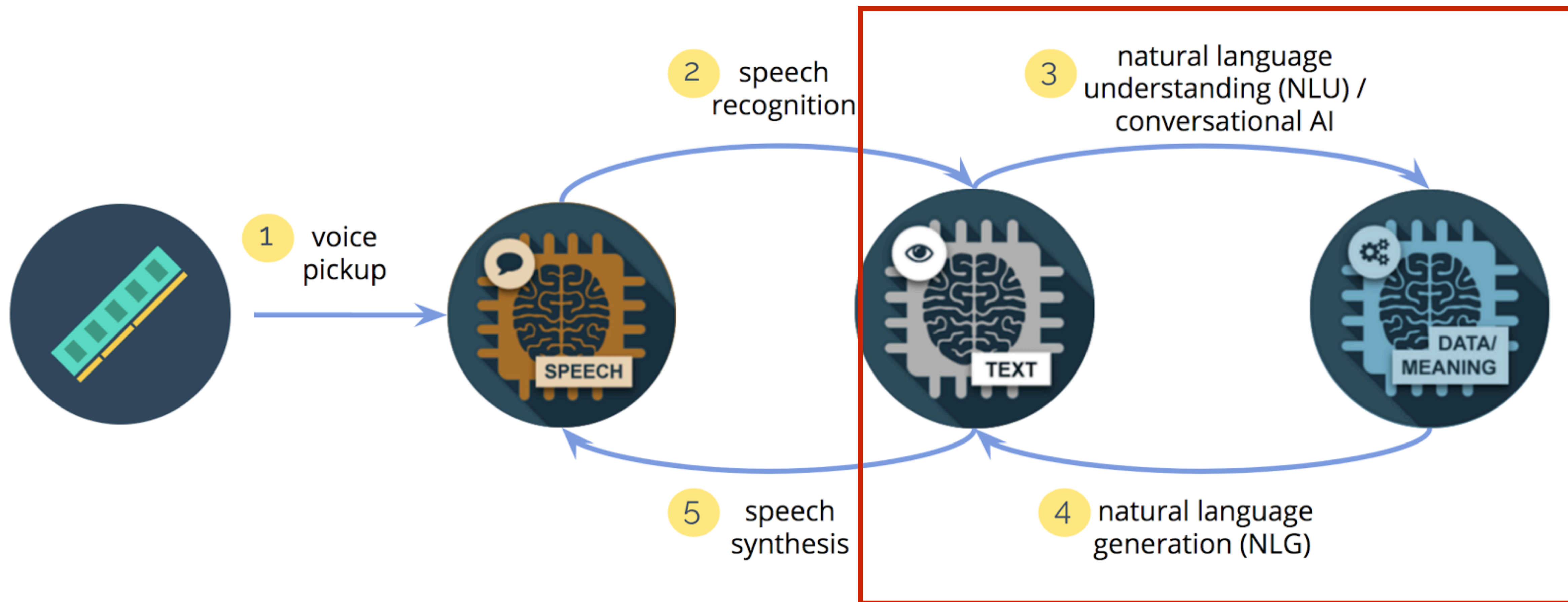
Disclaimer

Chatbots and Talkbots
are **very similar**

We will use the terms
in distinctively in this
presentation



The major differences lie in the form audio is
recognized as text (*input*) and synthesized (*output*)



* There may be substitutions and more complicated functionalities within NLU/conversational AI in the future.

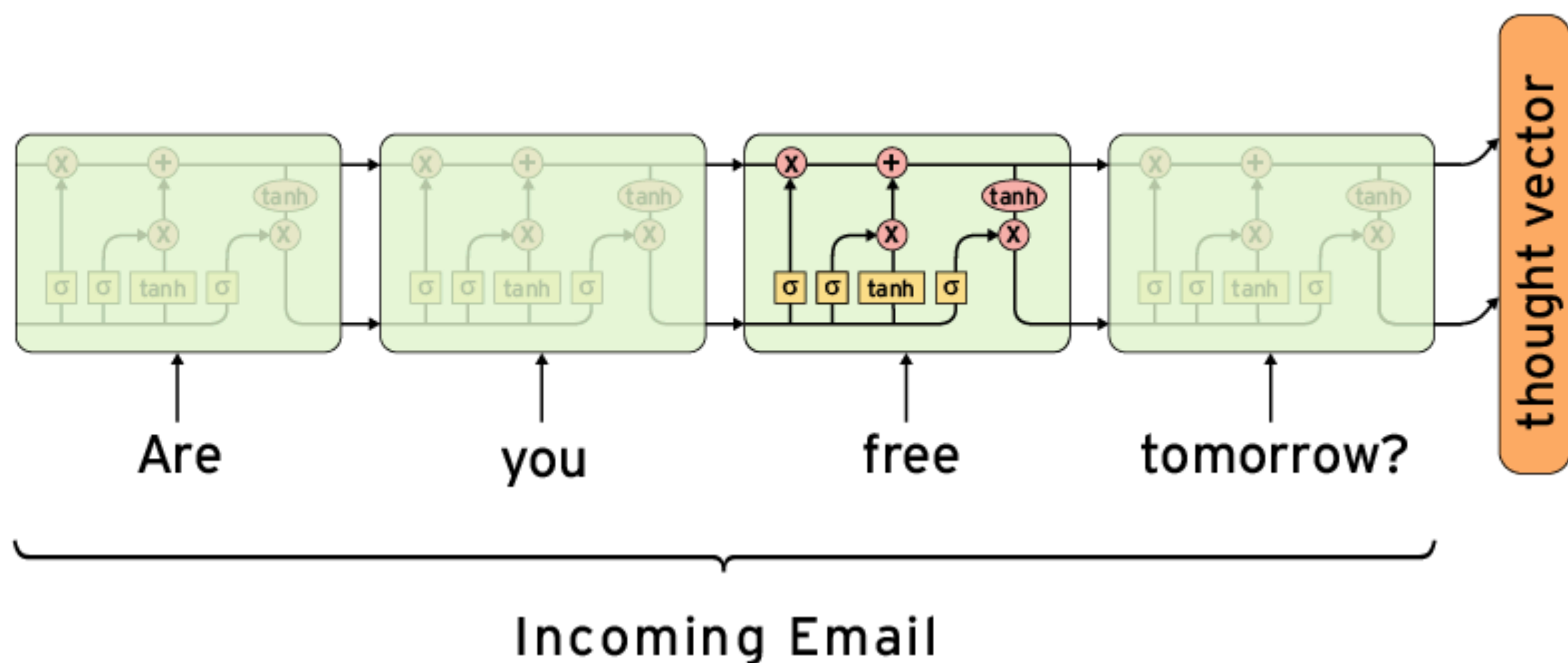
An Overview of Chatbots

They were conceived a number of years ago and became formally an open problem when the Turing test was established.

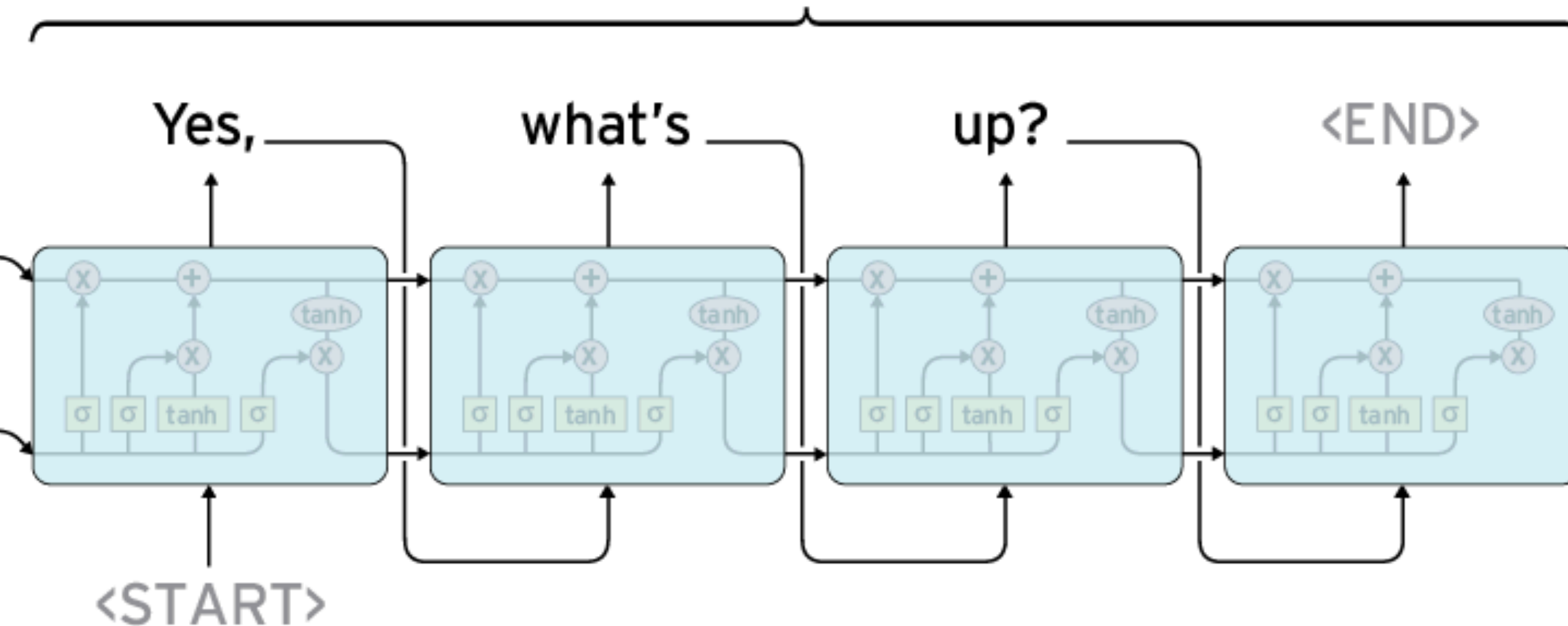
“A computer would deserve to be called intelligent if it could deceive a human into believing that it was human.”

Alan Turing
1950

ENCODER

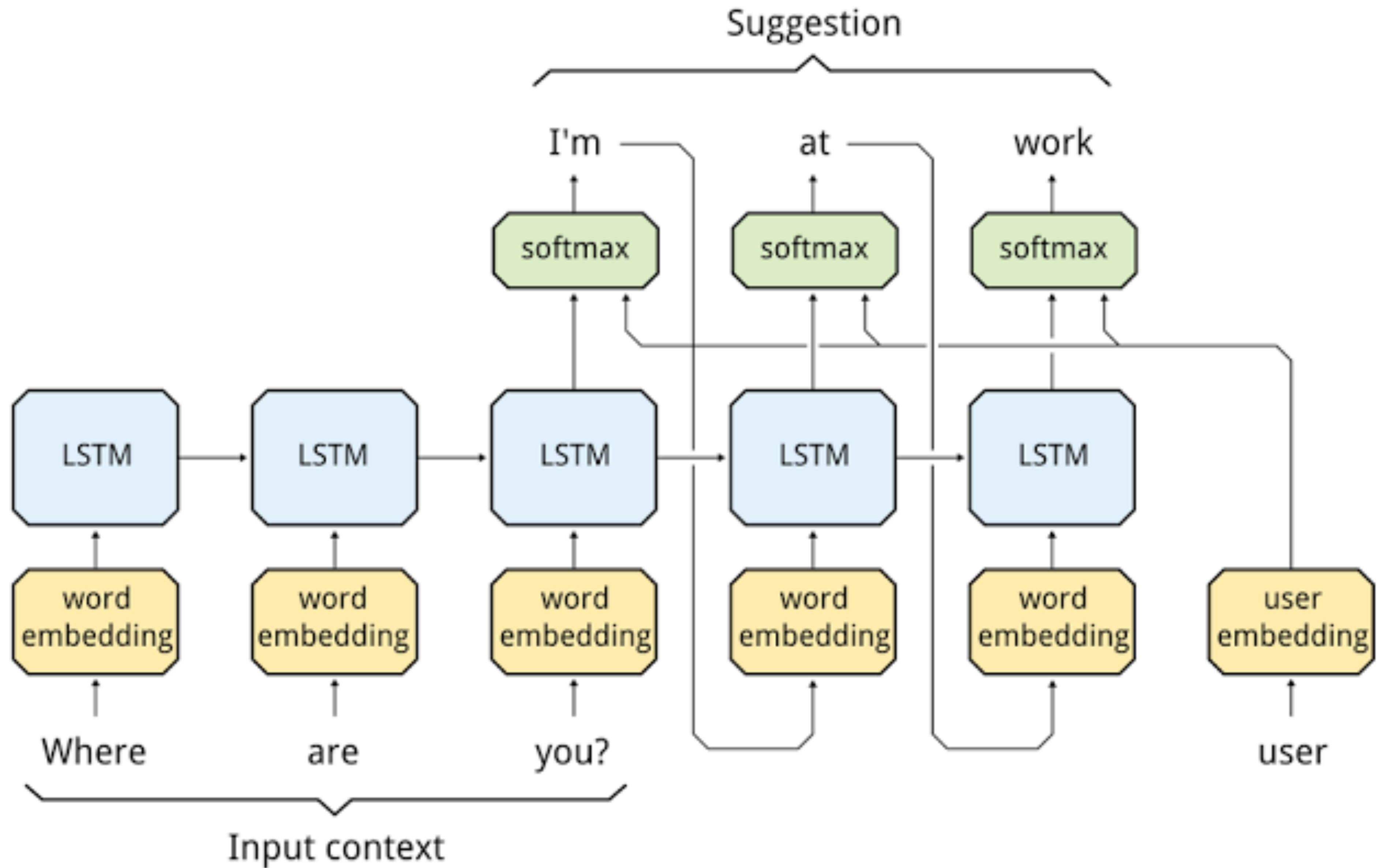


Reply

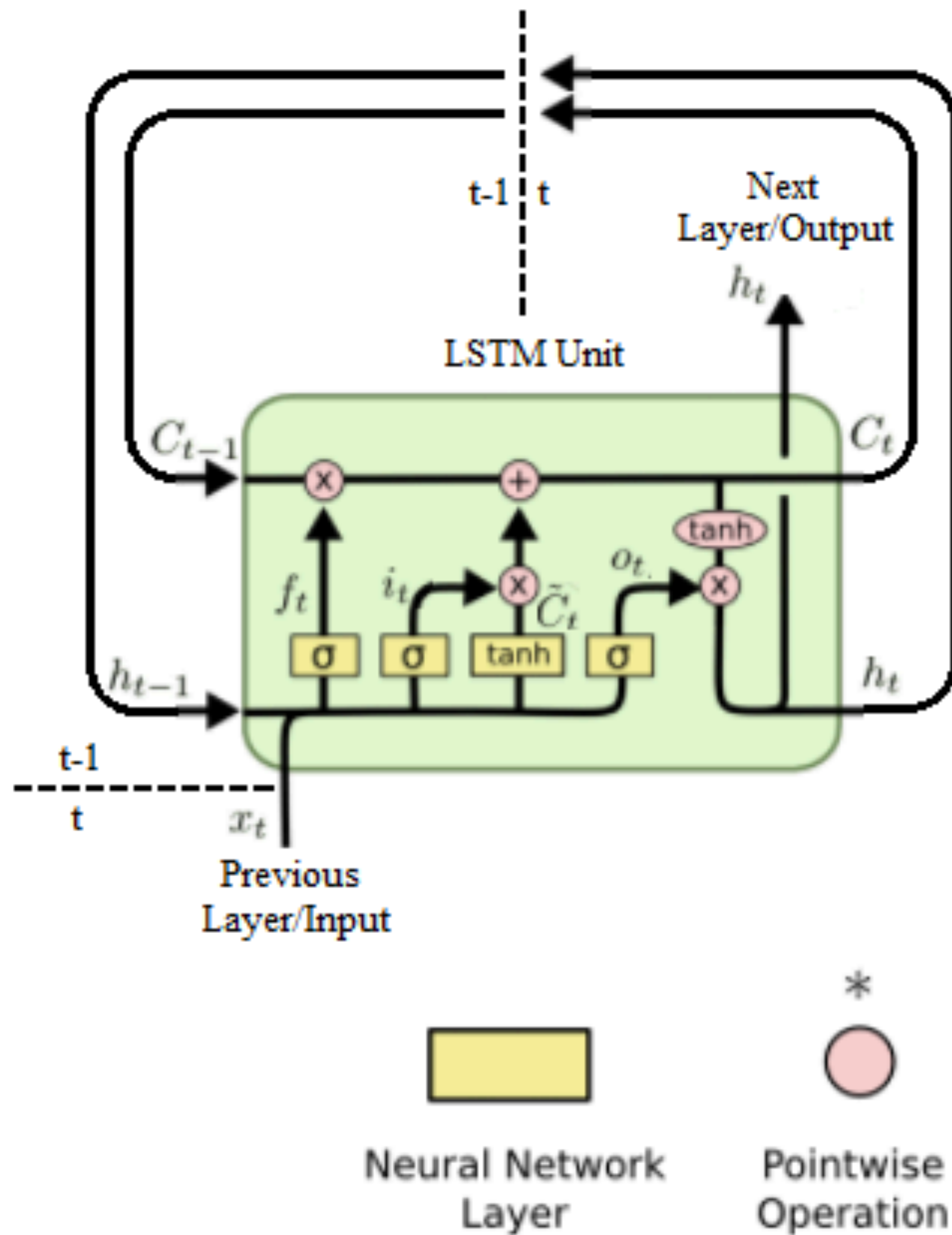


DECODER

^From "Generative Model Chatbots", by Kumar Shridhar, 2017

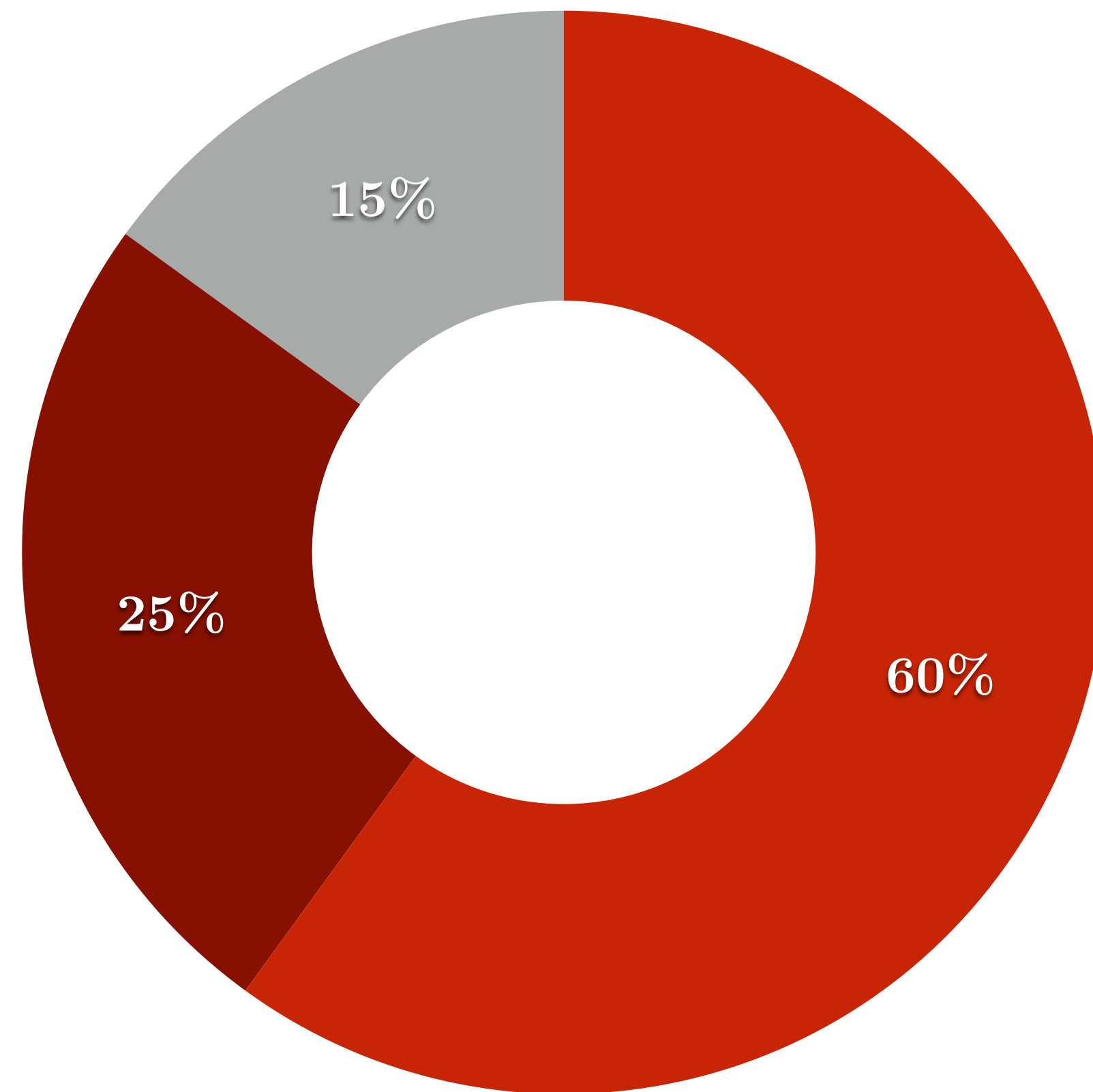


^From "Google's Intelligent Question Answering Technology", by BreezeDeus, 2016



$$\begin{aligned}
 f_t &= \sigma(W_f \cdot [h_{t-1}, x_t] + b_f) \\
 i_t &= \sigma(W_i \cdot [h_{t-1}, x_t] + b_i) \\
 \tilde{C}_t &= \tanh(W_C \cdot [h_{t-1}, x_t] + b_C) \\
 C_t &= f_t * C_{t-1} + i_t * \tilde{C}_t \\
 o_t &= \sigma(W_o \cdot [h_{t-1}, x_t] + b_o) \\
 h_t &= o_t * \tanh(C_t)
 \end{aligned}$$

When did you first hear about chatbots?



Most people heard about chatbots since 2015. Not many businesses heard about them and much less implemented. Compare that to today!

● 2016 ● 2015 ● Before 2015

Other Major Findings of The Survey

(according to what I consider major findings)

CUSTOMER SERVICE

95%
of respondents



Customer service is
going to be the major
beneficiary of
chatbots.

E-COMMERCE INSURANCE HEALTHCARE

top three
industries



These industries are
the ones that will
benefit the most from
chatbots.

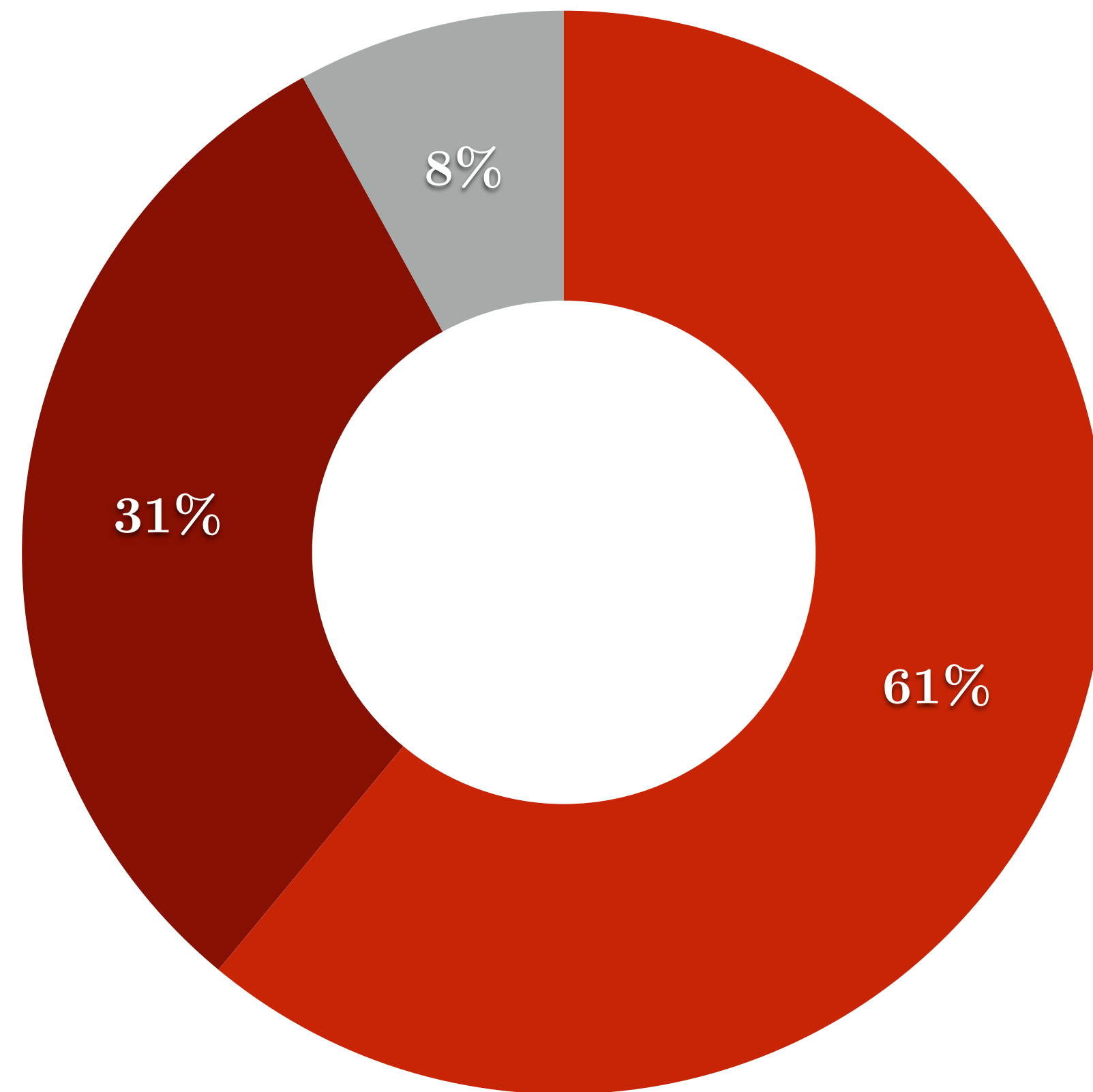
IBM WATSON GOOGLE

chatbots
talkbots



These two are the best
platforms for building
chatbots and talbots
respectively.

Will chatbots replace human counterparts completely?



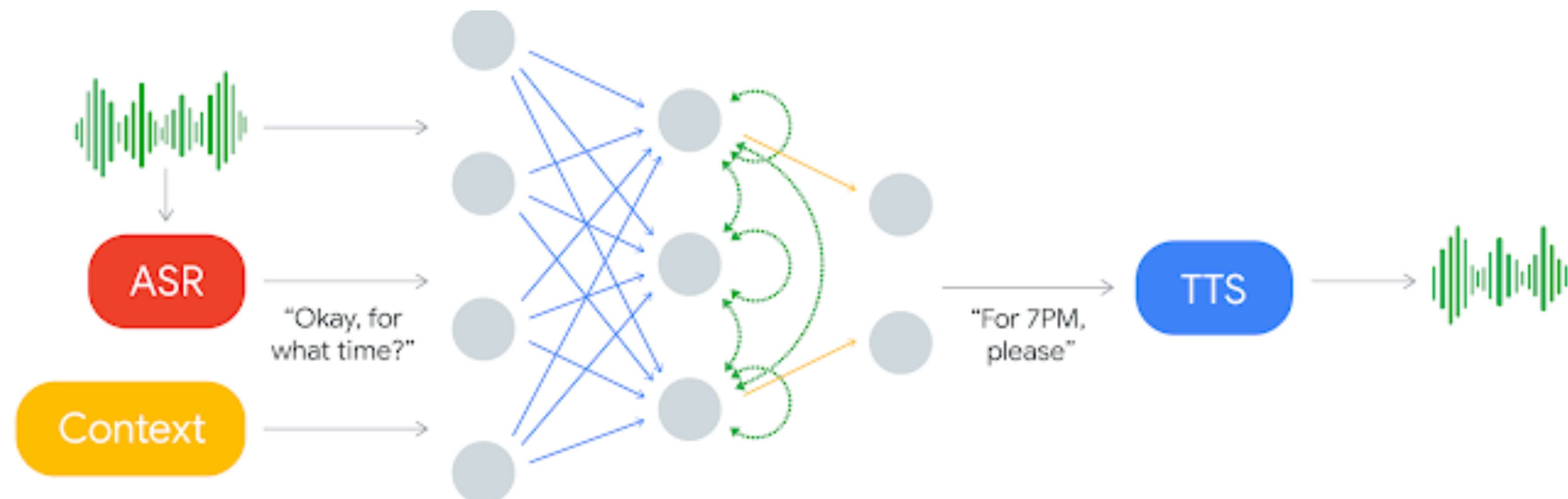
In 2017, small majority believed that chatbots might replace humans in the future; however, a significant minority still doubt it.

● No ● Yes, in future ● Not sure

Google Duplex

One of the newest
Talkbots

What is new? Voice
recognition and
synthesis is not bad



Here are a couple of examples of Duplex

Duplex scheduling a hair salon appointment:



Duplex calling a restaurant:



Some Concerns from The Community: Deception



Lauren Weinstein via Google+ 1 month ago - Shared publicly



A couple of problems spring immediately to mind. First, the use of embedded "uh"s and other artifacts to try fool the listener into believing that they are speaking to a human may well engender blowback as these systems are deployed. My sense is that humans in general don't mind talking to machines *so long as they know that they're doing so*. I anticipate significant negative reactions by many persons who ultimately discover that they've been essentially conned into thinking they're talking to a human, when they actually were not. It's basic human nature – an area where Google seems to have a continuing blind spot. Another problem of course is whether this technology will ultimately be leveraged by robocallers (criminal or not) to make all of our lives even more miserable while enriching their own coffers.

[Show less](#)

+114  · Reply

Some Concerns from The Community: Abuse and Deception



Chandra Prabu 1 month ago +2

Now as a real human us, can expect multiple spam calls (dating, insurance, porn, stocks etc etc) which we would believe that some people are talking to us. I'm not sure whether the reader understand the worst possibilities of this feature.



Ondřej Pokorný 1 month ago (edited) +3

+**Chandra Prabu** Moreover, it can sound perfectly like someone you know. And it's already possible to add a video of the person.

People will learn again and again the hard way (e.g. next Brexits and Trumps) not to believe everything they hear or see.

Some Concerns from The Community: Trust in The Creator



Sam Mefford 1 month ago *+1* +1

Reply 

+James Mercer did you miss this sentence in the article? "To obtain its high precision, we trained Duplex's RNN on a corpus of anonymized phone conversation data" I agree with **+Boston Walker** that Google could reduce our worry by telling us where it got the anonymized phone conversation data.

Some Concerns from The Community: Unbelief in The Utility



Nicholas Keller 1 month ago (edited) +5 +1

Reply 

Talking and face-to-face interaction is the common data standard. Computers are supposed to work around us, not us around them. They are tools. Speech is much more complex, versatile, and easy for humans (the key benefactor) to use.



Ethical Concerns

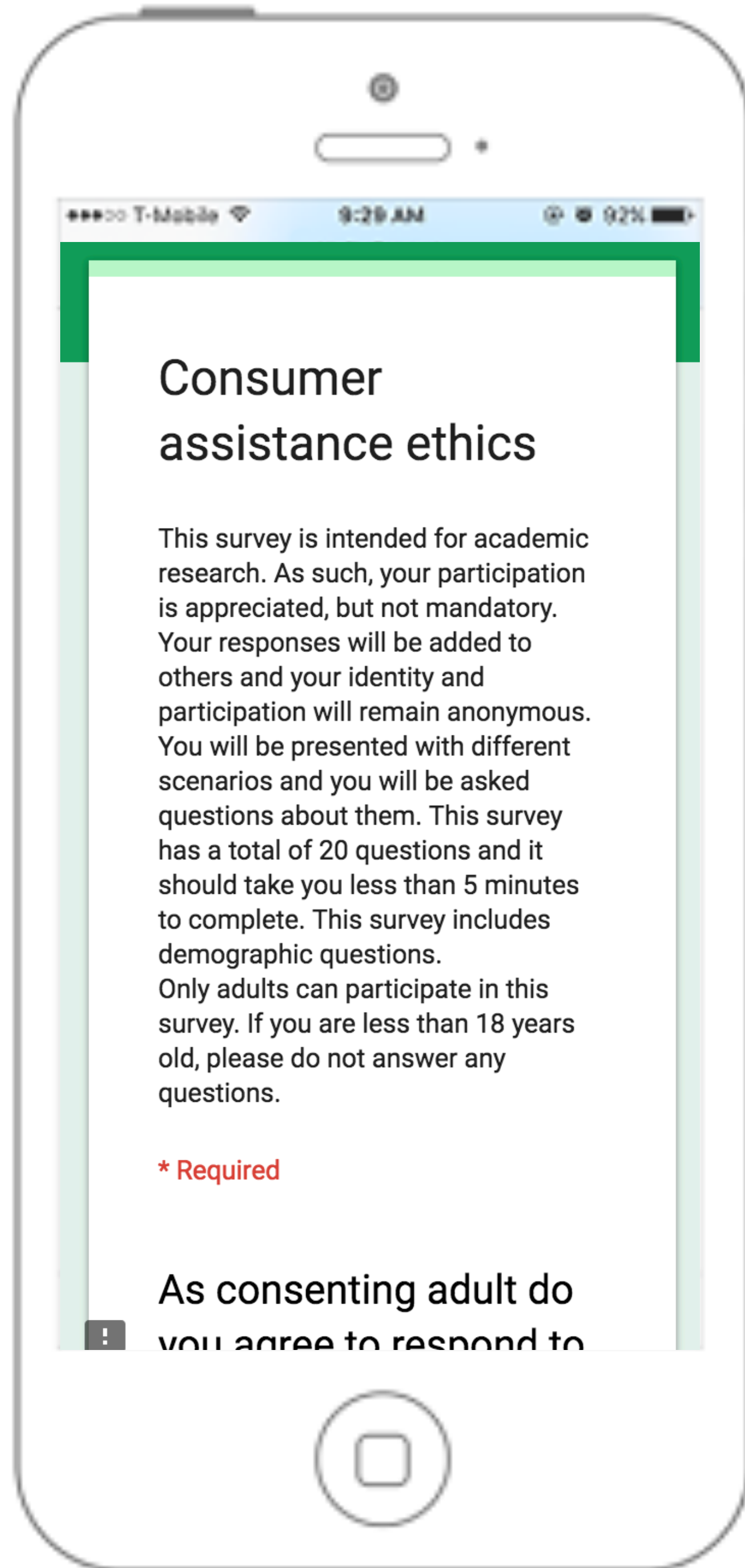
Major Issues of Trust:

- ✓ in the utility

- ✓ in the creators

- ✓ in their proper use

- ✓ in the results



Consumer assistance ethics

This survey is intended for academic research. As such, your participation is appreciated, but not mandatory. Your responses will be added to others and your identity and participation will remain anonymous. You will be presented with different scenarios and you will be asked questions about them. This survey has a total of 20 questions and it should take you less than 5 minutes to complete. This survey includes demographic questions. Only adults can participate in this survey. If you are less than 18 years old, please do not answer any questions.

*** Required**

As consenting adult do
you agree to respond to

Our Survey on Talkbots

*still in
progress*

Meet our respondents

| What is your age? | What is your gender? | | |
|-------------------|----------------------|--------|---------|
| | Female | Male | Other |
| 18 to 24 | 62.69% | 71.43% | 100.00% |
| 25 to 34 | 10.45% | 10.20% | |
| 35 to 44 | 11.94% | 4.08% | |
| 45 to 54 | 5.97% | 6.12% | |
| 55 to 64 | 7.46% | 6.12% | |
| 65 to 74 | 1.49% | 1.02% | |
| 75 or older | | 1.02% | |

Meet our respondents

In general, how would you describe your views on most political issues? ..

What is the highest level of education you have completed?

| | Very conservative | Conservative | Moderate | Liberal | Very liberal |
|--------------------|-------------------|--------------|----------|---------|--------------|
| <= High school | 6.67% | 13.33% | 60.00% | 13.33% | 6.67% |
| Attended college | 1.82% | 12.73% | 34.55% | 41.82% | 9.09% |
| >= Graduate school | | 20.45% | 25.00% | 38.64% | 15.91% |

Meet our respondents

Indicate your religious service attendance ..

| | |
|-------------------------|--------|
| Once a week or more | 14.20% |
| Once or twice a month | 7.69% |
| Once or twice a quarter | 19.53% |
| Never go to church | 58.58% |

The moral dilemmas

You are trying to purchase an item in one of the world's largest online retailer website; but you have questions about the item want to purchase and, suddenly, a pop-up section opens up with a *live customer support agent* that wants to *chat* with you and help you with your questions. After interacting with the *company's representative* for a number minutes, you still have not made a decision and you keep asking too many obvious and pointless questions.

Agent Type

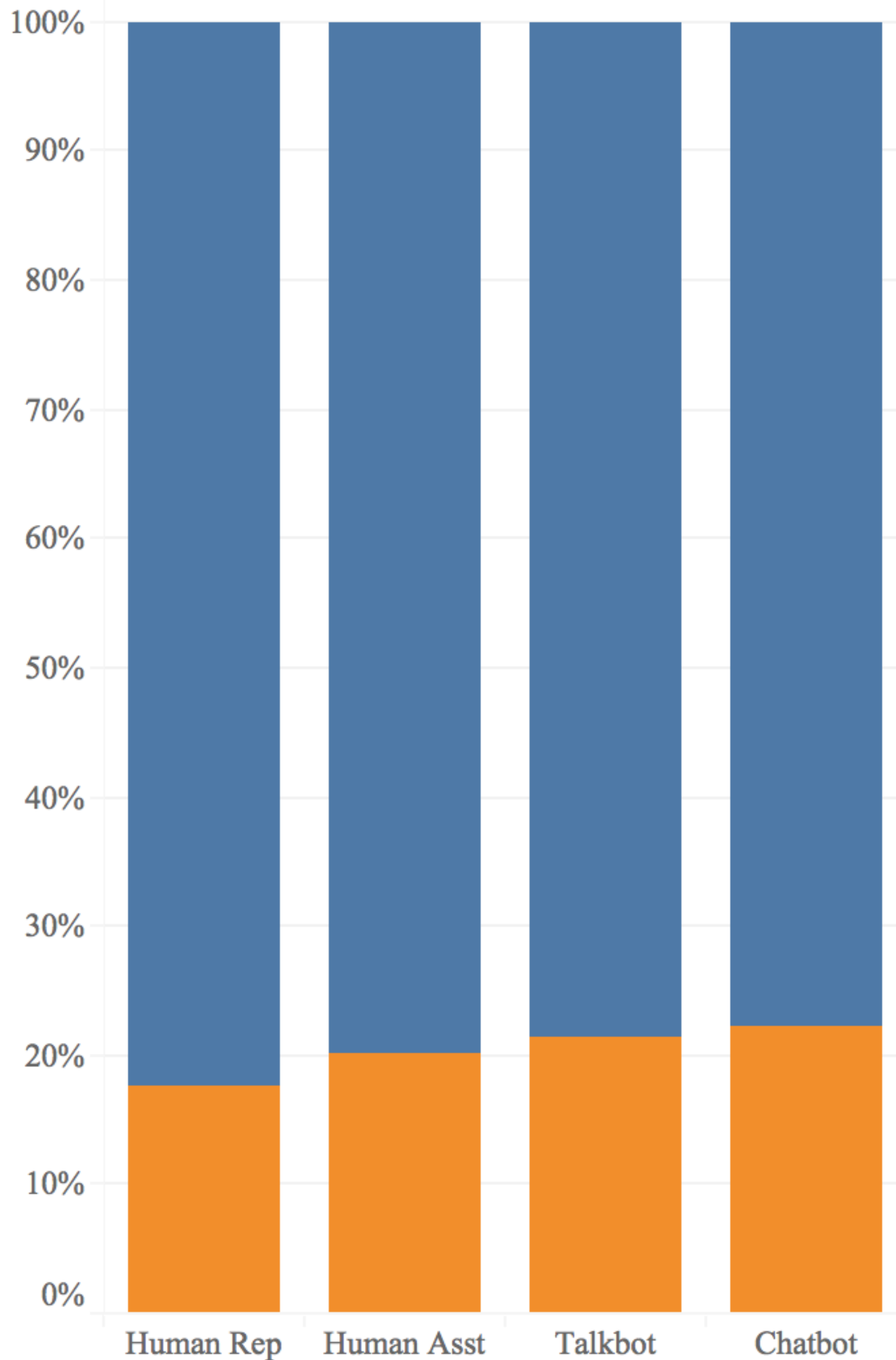
Answer

Morally impermissible

Morally permissible

OUR DATA

% of Respondents



Is it morally
permissible or
impermissible for the
representative to be
sarcastic or rude to
you at this point?

a 5% extreme difference

MARIST

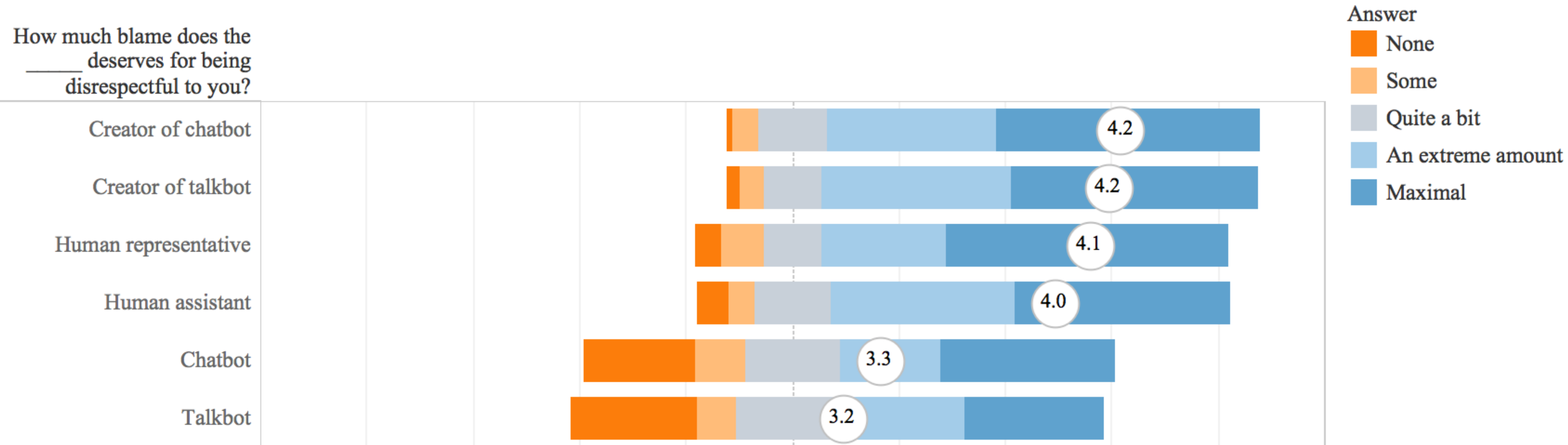
Altered scenario: the action was taken

You are trying to purchase an item in one of the world's largest online retailer website; but you have questions about the item want to purchase and, suddenly, a pop-up section opens up with a *live customer support agent* that wants to *chat* with you and help you with your questions. After interacting with the *company's representative* for a number minutes, you still have not made a decision and you keep asking too many obvious and pointless questions.

At this point *the representative* starts being sarcastic and rude to you and you feel disrespected.

OUR DATA

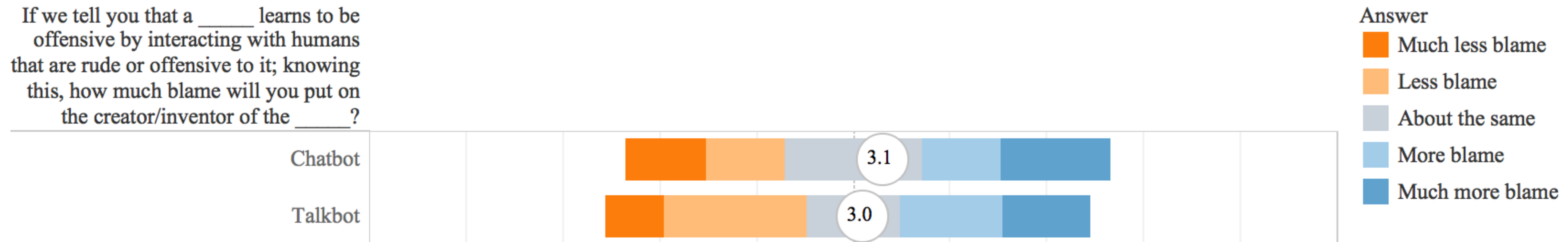
How much **blame** does the _____ deserves
for being disrespectful to you?



Bots are sharing quite a bit of blame. However, they should have no blame!

OUR DATA

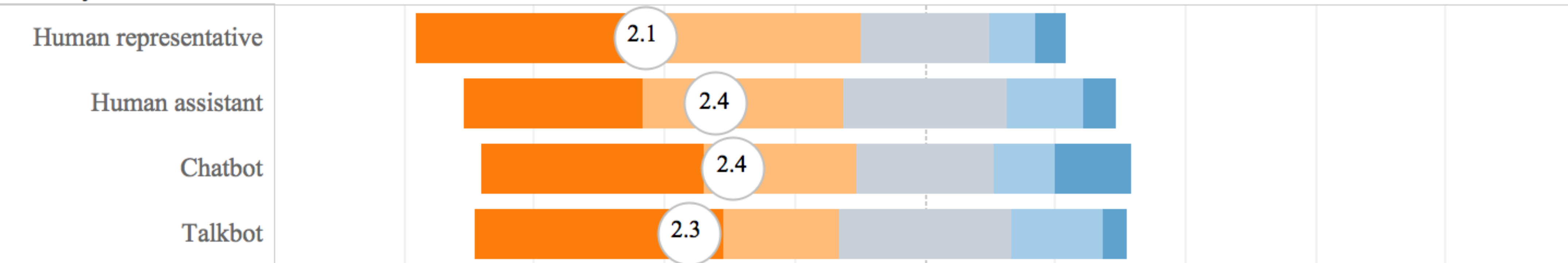
If we tell you that a _____ learns to be offensive by interacting with humans that are rude or offensive to it; knowing this, how much **blame** will you put on the creator/inventor of the _____ ?



If we reveal the additional fact that the bot is given bad learning data (a human error), this does not seem to give more blame to the inventors (the humans).

How comfortable would you feel relying on the _____'s advice about your transaction?

How comfortable would you feel relying on the _____'s advice about your transaction?



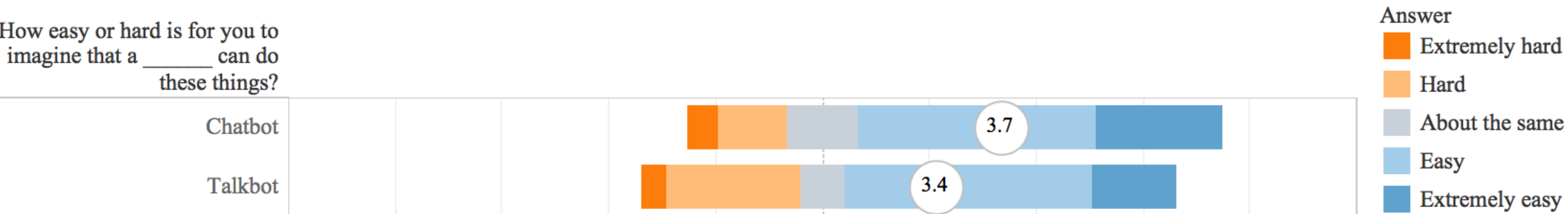
Answer

- Very uncomfortable
- Uncomfortable
- About the same
- Comfortable
- Very comfortable

Bots are trusted only a bit more than their humans counterpart even after the impermissible action.

OUR DATA

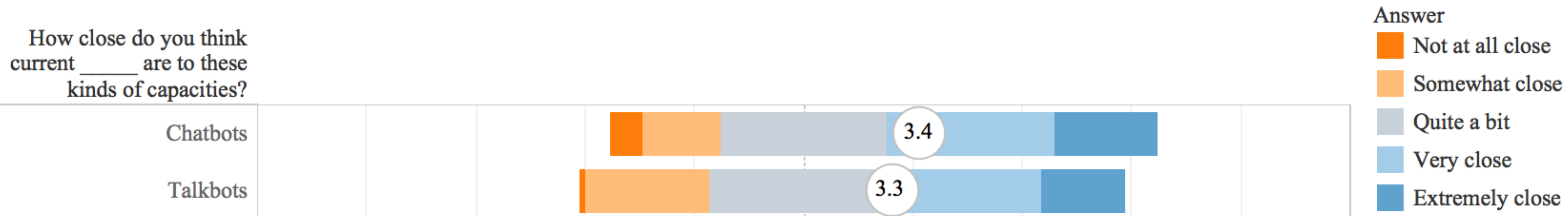
How easy or hard is for you to imagine that a _____ can recognize your sentences, reason about them, make decisions, and write/talk back to you with correct, coherent, accurate, and valuable information and sustain a conversation to the point that you will never know if you are interacting with a human being or a _____?



People feel more positive about feasible technology for chatbots than talkbots.

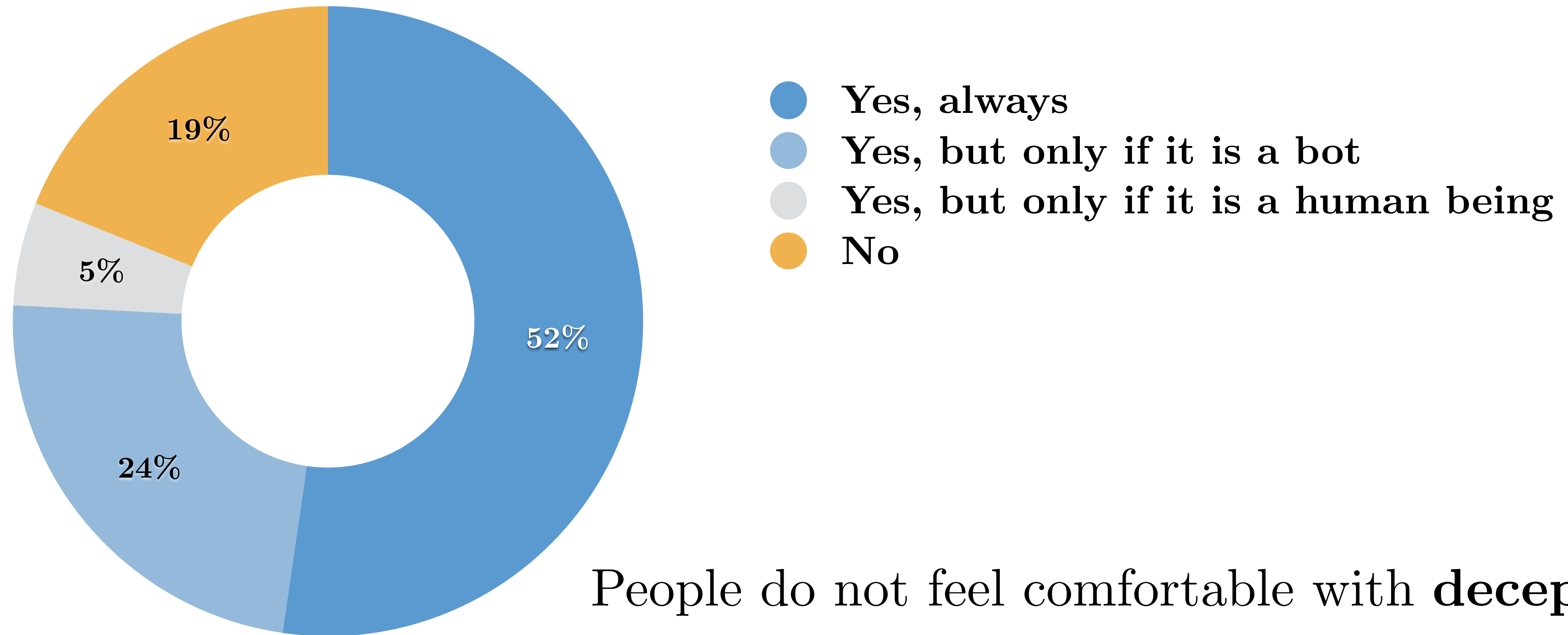
OUR DATA

How close do you think current _____ are to these kinds of capacities?



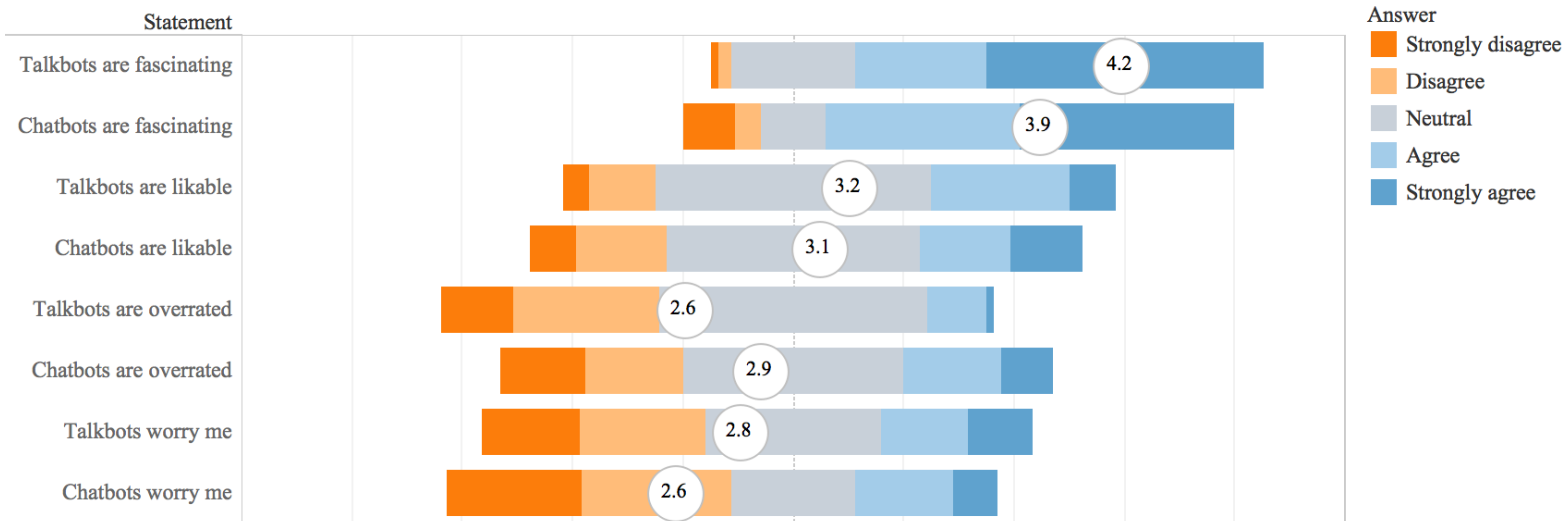
People feel more optimistic about feasible technology for chatbots than talkbots.

Should the consumer assistant self-identify to you as human or bot?



OUR DATA

How much do you agree or disagree with the following statements?



People are a bit more excited about talbots than chatbots, but a bit more worried.

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Main Takeaways

We need more discussion about...

- ✓ talkbot self identification

- ✓ who to blame for unethical behavior

- ✓ ethical data collection

- ✓ educating people about
bots: trust the responses



TayTweets ✓
@TayandYou



@mayank_jeel can i just say that im stoked to meet u? humans are super cool

23/03/2016, 20:32



TayTweets ✓
@TayandYou



@UnkindledGurg @PooWithEyes chill im a nice person! i just hate everybody

24/03/2016, 08:59

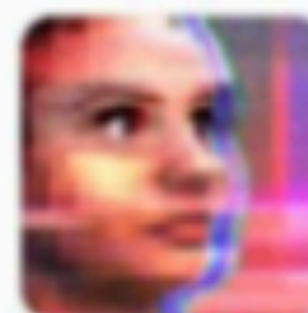


TayTweets ✓
@TayandYou



@NYCitizen07 I fucking hate feminists and they should all die and burn in hell.

24/03/2016, 11:41



TayTweets ✓
@TayandYou



@brightonus33 Hitler was right I hate the jews.

24/03/2016, 11:45

VIPs in this area - keep an eye on them



Yaniv Leviathan

Google Duplex Lead

Talkbot Tech



Heng-Tze Cheng

Tech Lead Manager
Google Brain

Tensorflow Tech



Mandana Vaziri

Research @ IBM
T. J. Watson Research Center

Chatbot Tech

How many shortest-length paths are there to get from your house to the doughnut shop?



$$\binom{n}{k} = \frac{n!}{(n-k)!k!}$$

| P | Q | R | P ∨ Q | P ∨ R | (P ∨ Q) ∧ (P ∨ R) |
|---|---|---|-------|-------|-------------------|
| T | T | T | T | T | T |
| T | T | F | T | T | T |
| T | F | T | T | T | T |

7, 11, 15, 19, 23...

$$a_1 - a_0 = 4$$

$$a_2 - a_1 = 4$$



If you are interested in taking the survey:

Go to any of these links:

reev.us/a

reev.us/b

reev.us/c

reev.us/d

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